# PRIMARY RESEARCH ARTICLE

# Trees tolerate an extreme heatwave via sustained transpirational cooling and increased leaf thermal tolerance

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#### **Abstract**

Heatwaves are likely to increase in frequency and intensity with climate change, which may impair tree function and forest C uptake. However, we have little information regarding the impact of extreme heatwaves on the physiological performance of large trees in the field. Here, we grew Eucalyptus parramattensis trees for 1 year with experimental warming (+3°C) in a field setting, until they were greater than 6 m tall. We withheld irrigation for 1 month to dry the surface soils and then implemented an extreme heatwave treatment of 4 consecutive days with air temperatures exceeding 43°C, while monitoring whole-canopy exchange of CO2 and H<sub>2</sub>O, leaf temperatures, leaf thermal tolerance, and leaf and branch hydraulic status. The heatwave reduced midday canopy photosynthesis to near zero but transpiration persisted, maintaining canopy cooling. A standard photosynthetic model was unable to capture the observed decoupling between photosynthesis and transpiration at high temperatures, suggesting that climate models may underestimate a moderating feedback of vegetation on heatwave intensity. The heatwave also triggered a rapid increase in leaf thermal tolerance, such that leaf temperatures observed during the heatwave were maintained within the thermal limits of leaf function. All responses were equivalent for trees with a prior history of ambient and warmed (+3°C) temperatures, indicating that climate warming conferred no added tolerance of heatwaves expected in the future. This coordinated physiological response utilizing latent cooling and adjustment of thermal thresholds has implications for tree tolerance of future climate extremes as well as model predictions of future heatwave intensity at landscape and global scales.

# KEYWORDS

climate change, *Eucalyptus parramattensis*, heatwave, latent cooling, photosynthesis, temperature, thermal tolerance, warming

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# 1 | INTRODUCTION

Heatwaves are a regular climate component in many areas of the world, consisting of several consecutive days of extreme temperatures and a dry atmosphere, often combined with dry surface soils (Teskey et al., 2015). Several extreme heatwaves have recently been observed in Europe, Australia, and China, with strong negative effects on ecosystem C uptake (Ciais et al., 2005; van Gorsel et al., 2016; Yuan et al., 2016). Earth system models used in the fifth model intercomparison project (CMIP5) predict that heatwave amplitude—a proxy of heatwave intensity—will increase by up to 4.8°C for RCP8.5 (2081-2100 vs. 1950-2005; Cowan et al., 2014). The CMIP5 multimodel ensemble also projects increases in heatwave frequency (1.7  $\pm$  6.6 per decade to 13.0  $\pm$  27 per decade under RCP8.5, 2006-2016 vs. 2090-2100). Heatwaves occur against a backdrop of a gradual increase in mean temperature (+0.85°C globally from 1880 to 2012. +1°C for Australia from 1910 to 2016) that is predicted to reach +3°C by 2100 (Australian Bureau of Meteorology State of the Climate Report, 2016; IPCC, 2014). Thus, climate change is predicted to increase the intensity and frequency of heatwaves, along with a gradual increase in mean temperature.

The response of trees to extreme heatwaves is uncertain but important for ecosystem function (Allen, Breshears, & McDowell, 2015; Ciais et al., 2005; van Gorsel et al., 2016; Reichstein et al., 2013; Teskey et al., 2015). Some ecological processes are more sensitive to changes in extremes than to changes in mean values (Frank et al., 2015; Hansen, Sato, & Ruedy, 2012). For example, extreme temperatures combined with prolonged drought have been implicated as drivers of forest mortality (Allen et al., 2010, 2015). High temperatures during extreme heatwaves may exceed plant thermal thresholds, leading to direct thermal damage or mortality (O'Sullivan et al., 2017) unless plants can quickly adjust to these extreme conditions. We have known for some time that plants can quickly produce heat-shock proteins that can ameliorate thermal damage of heat treatments (e.g., Colombo & Timmer, 1992; Gifford & Taleisnik, 1994). However, it is not clear whether rapid physiological adjustments in thermal tolerance occur in response to heatwaves in the field, or whether this is an effective protectant during the extreme heatwaves that are predicted to occur in the future (O'Sullivan et al., 2017). Furthermore, plants adjust many aspects of their physiology in response to long-term changes in air temperature  $(T_{air})$ , a process termed physiological acclimation (Reich et al., 2016; Smith & Dukes, 2013; Yamori, Hikosaka, & Way, 2014). However, it is not known whether acclimation to long-term warming modifies a tree's physiological performance in an extreme heatwave (Teskey et al., 2015).

A further key unknown relates to the regulation of  $CO_2$  and  $H_2O$  exchange during extreme heatwaves. Net rates of leaf photosynthesis often decline at high temperatures because of increased mitochondrial respiration, photorespiration, impairments to photosynthetic biochemistry, and stomatal closure (Lin, Medlyn, & Ellsworth, 2012; Teskey et al., 2015). During moderate environmental conditions, stomatal conductance ( $g_s$ ) is effectively predicted as a

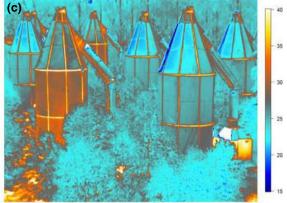
function of photosynthetic rate (A) and vapor pressure deficit (VPD), which are in turn affected by temperature (Ball, Woodrow, & Berry, 1987; Leuning, 1995; Medlyn et al., 2011). Photosynthesis and transpiration are thus "coupled" via  $g_s$ . If such coupling continues under extreme heatwave conditions, when air temperatures can exceed  $40^{\circ}$ C and VPD can exceed 4 kPa, we would predict A and  $g_s$  to strongly decline toward zero such that transpiration would also decline toward zero despite the increase in VPD. This would increase leaf temperatures by limiting transpirational cooling, resulting in a positive feedback to regional surface temperatures by increasing the sensible heat flux (van Gorsel et al., 2016) and exacerbating the chance of direct thermal damage to leaf function (O'Sullivan et al., 2017).

It is possible, however, that A and g<sub>s</sub> may decouple under extreme heatwave conditions, leading to substantial rates of transpiration despite near zero or negative A. Such decoupling has been observed in a few heatwave experiments with small, well-watered, potted plants (Ameye et al., 2012; von Caemmerer & Evans, 2015; Rogers et al., 2017; Urban, Ingwers, McGuire, & Teskey, 2017), but it is not clear if this is a general response that applies to large trees in the field. Such decoupling would maintain latent cooling of leaf temperatures and possibly protect against thermal damage. Decoupling may also be important as a feedback to regional temperature, as transpiration strongly impacts land surface temperatures and model predictions of heatwave intensities in future climates (Kala et al., 2016). Continued transpiration during extreme temperature and VPD conditions requires a functional plant hydraulic system, but extreme conditions may lead to hydraulic failure (e.g., Mitchell, O'Grady, Hayes, & Pinkard, 2014), particularly if soil water availability is low and water potentials drop to values that impair water transport. Thus, the coordinated physiological response of trees to extreme heatwaves is uncertain but important for tree survival, ecosystem function, and climate feedbacks in the future.

We exposed Eucalyptus parramattensis trees to 1 year of experimental climate warming (ambient vs. +3°C) followed by an extreme heatwave using 12 whole-tree chambers (WTCs) in Australia (Figure 1). Growing trees under current ambient and warmed temperatures enabled a test of whether warmed trees of the future are better able to cope with an extreme heatwave. We withheld irrigation for 1 month such that the surface soils were dry during the heatwave. These WTCs control Tair, CO2, and VPD while measuring wholecanopy CO<sub>2</sub> and H<sub>2</sub>O exchange (Barton et al., 2010; Drake et al., 2016) in an ecologically meaningful context with relatively large trees (6-9 m tall) in a field setting (Figure 1). This enabled assessment of heatwave impacts on integrated CO<sub>2</sub> and H<sub>2</sub>O fluxes of trees rooted in native soil with natural diurnal cycles of solar irradiance,  $T_{air}$  and VPD, avoiding the artifacts inherent in glasshouse experiments with small potted trees. We show that these trees successfully coped with an extreme "heatwave of the future" through a rapid and integrative physiological response including: (1) photosynthetic decoupling and sustained transpirational cooling of leaves, (2) the maintenance of a functional hydraulic system, and (3) increased leaf thermal tolerance.







**FIGURE 1** Whole-tree chambers in Richmond, New South Wales, Australia. Twelve 9 m tall chambers in a field setting (a) enclose the canopies of individual *Eucalyptus parramattensis* trees rooted in soil (b). Two heatwave chambers can be seen on the left of the infrared image, along with several control chambers (c; temperature in °C)

### 2 | MATERIALS AND METHODS

# 2.1 | Site and species description

This experiment was implemented at a site in Richmond, New South Wales, Australia (33°36′40″S, 150°44′26.5″E), using 12 WTCs. The WTCs are large cylindrical structures topped with a cone (3.25 m in diameter, 9 m in height, volume of ~53 m³) that enclose a single tree rooted in soil. The WTCs controlled  $T_{\rm air}$ , VPD, and atmospheric CO<sub>2</sub> concentration in the canopy air space while measuring the net exchange of CO<sub>2</sub> and H<sub>2</sub>O for the entire canopy at 15 min resolution (Barton et al., 2010; Drake et al., 2016). The circular base of each WTC was equipped with a vertical root exclusion barrier extending approximately 1 m belowground into a hard horizontal layer of cemented manganese nodules and clay. Thus, the rooting volume of each tree was compartmentalized. Soils were an alluvial formation of low-fertility sandy loam (Drake et al., 2016). Local climate is warm; mean annual temperature is 17°C and mean annual precipitation is 720 mm.

We selected a locally endemic and threatened woodland tree species (*Eucalyptus parramattensis*) for this experiment. This species is protected by environmental legislation and is a preferred food source for koalas (Phillips, Callaghan, & Thompson, 2000). Seed was acquired from Harvest Seeds and Native Plants (Terry Hills, NSW, Australia) and germinated in a local shade house. Six potted seedlings were placed into each WTC, and the experimental warming treatment (see below) was initiated on October 28, 2015. One seedling was planted into the soil within each WTC on December 23, 2015; at that time, average seedling height was 60 cm.

#### 2.2 Long-term warming experiment

We implemented a warming experiment on October 28, 2015. Six chambers tracked the natural variation in  $T_{\rm air}$  and relative humidity (RH) observed at the site; we refer to this as the "ambient"

treatment. Six chambers tracked the ambient  $T_{\rm air}$  plus 3°C while also tracking the ambient RH; we refer to this as the "warmed" treatment. This design was similar to a previous experiment with *Eucalyptus tereticornis* (Aspinwall et al., 2016; Drake et al., 2016). Prior to the heatwave,  $T_{\rm air}$  in the ambient treatment over the 12-month period had a mean value of 15.2°C and ranged from -1.2 to 35.6°C. Tracking ambient RH in the warmed treatment resulted in a realistic simulation of future conditions of atmospheric humidity (Drake et al., 2016). All chambers tracked the ambient observed concentration of atmospheric CO<sub>2</sub>. All trees were irrigated equally every 2 weeks at half of the mean monthly rainfall for this location until 1 month prior to the heatwave, after which irrigation was suspended.

### 2.3 | Heatwave experiment

We added a heatwave treatment to the long-term warming treatment, resulting in a  $2 \times 2$  factorial design with three replicate trees in each treatment combination (n = 3). As heatwaves often occur during conditions with low soil water availability (Teskey et al., 2015), we withheld irrigation for 1 month, leading to dry surface soils during the heatwave (Figures S1-S2). We then implemented an experimental heatwave during the Austral Spring-Summer, consisting of 4 sunny days with a maximum  $T_{air}$  of 43–44°C and a maximum VPD of 5-6 kPa (Figure S3). We considered this an extreme but biologically reasonable "heatwave of the future" for this location, as the most intense heatwave on record was 4 consecutive days with a maximum  $T_{air}$  of 40-41°C (5-8 February 2009, data from 1953 to 2016) and a 3°C increase in maximum  $T_{\text{air}}$  is predicted by 2100  $\,$ (Cowan et al., 2014; Sillmann, Kharin, Zwiers, Zhang, & Bronaugh, 2013). We applied this heatwave equally to trees with a history of ambient or warmed growth (+3°C) temperatures, testing whether warmed trees of the future are better able to cope with an extreme heatwave.

We defined two experimental conditions: the heatwave treatment (4 days with a maximum  $T_{\rm air}$  of 43–44°C) and an average summer comparison condition (4 days with a maximum  $T_{\rm air}$  of 28–29°C).

Note that this interrupted the long-term  $+3^{\circ}\text{C}$  warming treatment for the 4 heatwave days. That is, the ambient control and warmed-control trees experienced equivalent conditions during the heatwave, as did the ambient heatwave and warmed-heatwave trees (Figure S3). This allows for the separation of the direct temperature effect of the heatwave from the effect of thermal history, which would not have been possible had we implemented the heatwave while maintaining the long-term  $+3^{\circ}\text{C}$  treatment difference.

We implemented a dry atmosphere to simulate the hot and dry conditions typical of Australian heatwaves (Cowan et al., 2014). The observed average VPD during the heatwave was 2.16 and 4.86 kPa, and the maximum VPD values were 2.53 and 5.97 kPa in the ambient and heatwave treatments, respectively. Thus, maximum VPDs in this experiment were comparable with the 2009 heatwave (6.2 kPa). We successfully implemented an extremely dry atmosphere during the experimental heatwave.

# 2.4 | Whole-canopy CO<sub>2</sub> and H<sub>2</sub>O flux

The WTCs used a mass-balance approach to calculate the rates of  $CO_2$  and  $H_2O$  exchange between each tree and its canopy airspace every 15 min (Barton et al., 2010; Drake et al., 2016). Soil-derived fluxes of  $CO_2$  and  $H_2O$  were excluded from the canopy flux measurements with a suspended plastic floor sealed around the stem of each tree at 45 cm height. To account for differences in tree size, we expressed these raw canopy fluxes on a leaf area basis by dividing by total canopy leaf area.

Total canopy leaf area was measured for each tree with a full destructive harvest on November 23, 2016. The canopy of each tree was divided into three equal heights (low-, mid-, and top-canopy thirds) and all leaves were removed from branches. A random sample of 100 leaves per canopy layer was measured for total leaf area (LI-3100C; Licor Environment, Lincoln, NE, USA), dry mass, and specific leaf area (SLA). We calculated the total leaf area of each tree as the product of layer-specific SLA and leaf dry mass summed across the three canopy layers. We assumed that total leaf area did not change substantially during the 28 days between the start of the flux data presented here (October 29, 2016) and the final harvest. We suggest that this is an appropriate assumption, given low rates of leaf formation or litterfall during this period.

# 2.5 | Leaf thermal tolerance

We used a measurement of the high-temperature thermal threshold of photosystem II integrity ( $T_{50}$ ) as an index of leaf thermal tolerance. We measured  $T_{50}$  with an established laboratory protocol (Curtis, Knight, Petrou, & Leigh, 2014) based on the ratio of dark-adapted variable fluorescence relative to maximal fluorescence ( $F_v/F_m$ ).  $T_{50}$  is the temperature at which dark-adapted  $F_v/F_m$  declines to 50% of its healthy unstressed value (Curtis et al., 2014). We measured  $T_{50}$  before the heatwave (October 19, 2016), at two time points during the heatwave (after 1 and 4 full days of heatwave

temperatures) and at three time points after the heatwave (after 3, 6, and 13 days of recovery).

We sampled 12 leaves from each tree between 07:30 and 08:30 hr and transported them to a nearby laboratory in dark plastic bags humidified with moist paper towel. Leaves were separated into ~4 cm<sup>2</sup> discs, which were dark adapted for at least 30 min prior to a measurement of initial  $F_{\rm v}/F_{\rm m}$ . Three leaf discs from each tree were then exposed to a sequence of temperature treatments: (1) an initiation treatment of 15 min at 24°C, (2) a thermal stress treatment of exactly 15 min at either 24, 44, 46, 48, 50, 52, or 54°C, and (3) a recovery treatment of 90 min at 24°C. All treatments were performed at a light intensity of 500  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup>. All leaf discs were then allowed to recover overnight in the dark, and  $F_v/F_m$  was measured again.  $T_{50}$  was derived using a Weibull function fit to the  $F_{v}$ /  $F_{\rm m}$  using the "fitplc" R package; tree-level estimates of  $T_{50}$  were obtained by fitting this function to the final overnight data collected for each tree on each date. As described previously for a range of plants (Curtis et al., 2014), the initial and overnight  $F_v/F_m$  values of leaf discs exposed to the 24°C control treatment were equivalently high (~0.8), indicating that there were no methodological artifacts related to sample handling.

# 2.6 | Leaf temperature

We measured leaf temperature ( $T_{\rm leaf}$ ) with continuous infrared measurements of the upper canopy ( $T_{\rm L-IR}$ ) and continuous thermocouple measurements ( $T_{\rm L-TC}$ ). An infrared radiometer (SI-111; Apogee Instruments, Logan, UT, USA, emissivity set to 0.97) was mounted inside the northern (sun facing) side of each WTC at 7 m height and pointed at an area of dense foliage in the upper third of the canopy for each tree. These sensors integrate over an area of  $\sim 1~{\rm m}^2$  and thus measure a canopy temperature averaged across many leaves. We refer to these data as  $T_{\rm L-IR}$ .

Fine-wire thermocouples (0.13 mm diameter, Model 5SRT; Omega Engineering, Norwalk, CT, USA) were installed on the abaxial surface of two upper canopy leaves per tree. We made small holes through the abaxial epidermis with fine needles and installed the thermocouples such that the junction was inside the leaf and touching the mesophyll. This installation avoided artifactual effects of tape or glue on measured  $T_{\rm leaf}$ . We investigated and could not find an effect of thermocouple installation on  $T_{\rm leaf}$  as measured by a thermal camera. We refer to these thermocouple measurements as  $T_{\rm L-TC}$ . We evaluated the efficacy of these measurements of leaf temperature in two focused campaigns prior to the heatwave (see on-line supporting information). We concluded that  $T_{\rm L-IR}$  was a reliable measure of average leaf temperature, but that individual leaf temperatures were highly variable owing primarily to variation in light in complex canopies.

#### 2.7 | Hydraulics

To assess tree water status and hydraulic integrity, we measured predawn and midday leaf water potentials, the water potential at turgor loss point (TLP), leaf hydraulic conductance, and branch hydraulic conductivity.

Predawn and midday leaf water potentials ( $\Psi_{L\text{-PD}}$  and  $\Psi_{L\text{-MD}}$ ) were measured prior to the heatwave (October 19, 2016), at three points during the heatwave ( $1^{st}$ ,  $3^{rd}$ , and  $4^{th}$  November of 2016), and once after the heatwave (November 7, 2016). Two leaves were measured per tree on each date using a Scholander-type pressure chamber (1505D-EXP; PMS Instrument Company, OR, USA). Leaves were placed in sealed plastic bags humidified with damp paper towel, placed in a dark cool box, and measured within 2 hr of collection in a nearby laboratory.

The water potential at which leaves lose turgor and leaf capacitance was measured using pressure–volume curves obtained with the standard bench drying approach (Tyree & Hammel, 1972). Two leaves per tree were collected prior to the heatwave (October 19, 2016) and were allowed to rehydrate overnight. The following morning they were dehydrated slowly on the benchtop, and  $\Psi_L$  and leaf mass were measured at intervals. Leaf area was measured with a scanner and analyzed with WinFOLIA (Regent Instruments, Inc., Canada). Leaf dry mass was measured after drying for 48 hr at 80°C. The TLP was estimated as the point of transition between curvilinear and linear portions of the graph of  $1/\Psi_L$  vs. the relative water content. Leaf capacitance ( $C_{\text{leaf}}$ ) was determined using the slope of the pressure–volume curve for each tree.

Leaf hydraulic conductivity (Kleaf) was measured using the kinetics of  $\Psi_L$  relaxation upon leaf rehydration (Brodribb & Holbrook, 2003). One 30-40 cm branch was collected early in the morning on the fourth day of the heatwave and transported to the laboratory in black plastic bags. Branches were cut to a length sufficient to prevent emboli from extending into the petioles of the leaves. Once in the laboratory, branches were allowed to desiccate slowly for up to 48 hr, before being carefully bagged to prevent water loss and equilibrate water potential throughout the branch. Initial  $\Psi_1$  was determined by measuring a leaf neighboring the sample leaves. Two sample leaves were then cut under water and allowed to rehydrate for 15–20 s and final  $\Psi_{\text{L}}$  was measured.  $\textit{K}_{\text{leaf}}$  was calculated as  $K_{\text{leaf}} = C_{\text{leaf}} \ln[\Psi_{\text{o}}/\Psi_{\text{f}}]/t$  where  $\Psi_{\text{o}} = \text{initial leaf water potential (MPa);}$  $\Psi_f$  = final leaf water potential (MPa); t = duration of rehydration (s);  $C_{\text{leaf}}$  = leaf capacitance (mmol m<sup>-2</sup> MPa<sup>-1</sup>). The two values of  $K_{\text{leaf}}$ were then averaged to assess a mean  $K_{leaf}$  value for each tree at each time point.

One shoot per tree was harvested in the early morning before and just after the heatwave to assess native embolism (i.e., the loss of hydraulic conductivity). One hour before collection, shoots were covered with black plastic bags and sprayed with water to stop transpiration. Shoots were harvested under water and transported to the laboratory with their cut ends immersed in water and their leaves covered to release tension. Two 5 cm long segments were excised under water from terminal parts of each shoot and connected to a flow meter (Bronkhorst High-Tech B.V., Ruurlo, the Netherlands). Hydraulic conductance was measured at low pressure ( $\leq$  2 kPa) before ( $k_i$ ) and after ( $k_f$ ) flushing with ultrapure, degassed 2 mmol KCI solution at high pressure for 30 min. Percentage loss of

hydraulic conductivity (PLC) was calculated as:  $PLC = 100 - k_i/k_f * 100$ . Xylem-specific hydraulic conductivity was assessed by dividing hydraulic conductance by the sapwood area in the middle of the segment and multiplying by sample length.

#### 2.8 | Soil water content

Soil volumetric water content was measured by six sensors permanently installed in each chamber (CS650 time-domain reflectometers; Campbell Scientific, Logan, UT, USA). Sensors were installed horizontally at three depths: four sensors were installed in the surface soil (5 cm depth) to capture spatial variation within each chamber, and single sensors were placed at 30 cm depth and just above the hard layer of cemented manganese (~90 cm depth). All sensors measured volumetric water content at 15 min resolution; we present daily averages.

We also utilized neutron-probe measurements to assess variation in soil volumetric water content throughout the soil profile, including deep soil (25–425 cm depth). A single neutron probe (503DR, Hydroprobe, Instrotek, NC, USA) was used to measure soil water content to a depth of 425 cm (at 25 or 50 cm steps) approximately every 2 weeks in each chamber (Duursma et al., 2011). Note that high neutron-probe counts in deep soil (150–400 cm depth) partially reflect a change in soil texture toward a higher clay content.

# 2.9 | Leaf photosynthetic modeling

We used an established leaf-level photosynthetic model (Duursma, 2015) to define our expectations for the responses of photosynthesis and transpiration to the heatwave. The model consists of three components: photosynthetic biochemistry (Farguhar, Caemmerer, & Berry, 1980), the Medlyn stomatal model linking g<sub>s</sub> to net photosynthesis (Medlyn et al., 2011), and a leaf energy balance model (Wang & Leuning, 1998). This "big-leaf" model utilizes the same underlying components as the land surface models that are used to project future climate (Kala et al., 2016; Rogers et al., 2017; Sillmann et al., 2013; Smith & Dukes, 2013). The model has previously been shown to describe the temperature dependence of photosynthesis in a manner that was equivalent with a complex multilayer canopy model (Duursma et al., 2014). This model utilized the Medlyn et al. (2011) g<sub>s</sub> formulation, which assumes a positive functional link between g<sub>s</sub> and net photosynthesis in a manner similar to previous and widely used formulations (Ball et al., 1987; Leuning, 1995).

The model takes three environmental inputs: incident photosynthetic photon flux density (PPFD), VPD, and  $T_{\rm air}$ . PPFD was measured at the top of a demountable building at the site, while VPD and  $T_{\rm air}$  were directly measured inside the airspace of each chamber (Figure S3). We fit several model parameters to the observed temperature responses from the control treatment, as described in the online supporting information. The model was able to suitably recreate the observed  $A_{\rm canopy}$ ,  $E_{\rm canopy}$ , and  $T_{\rm leaf}$  in the control treatment. The root-mean-square error (RMSE) and  $r^2$  values for predicted vs.

observed relationships were 2.1  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup> and 0.58 for  $A_{canopy}$ , 0.47 mmol m<sup>-2</sup> s<sup>-1</sup> and 0.61 for  $E_{canopy}$ , and 1.1°C and 0.95 for  $T_{leaf}$ . The model was also able to predict  $T_{leaf}$  as a function of  $T_{air}$  and incident PPFD in the control treatment. For example, at high light (PPFD > 1,000  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup>), observed  $T_{leaf}$  values were warmer than  $T_{air}$  by 1.6°C (standard deviation of 0.9°C), while the model-simulated values of  $T_{leaf}$  were warmer than  $T_{air}$  by 1.7°C (standard deviation of 0.2°C). Thus, the model was able to robustly simulate  $A_{canopy}$ ,  $E_{canopy}$ , and  $T_{leaf}$  in the control treatment. We then applied this fitted model to the environmental data observed in the heatwave treatment and compared the model predictions of  $A_{canopy}$ ,  $E_{canopy}$ , and  $T_{leaf}$  to observations during the heatwave conditions.

# 2.10 | Statistical analysis

The total sums of  $A_{canopy}$  and  $E_{canopy}$  during the 4-day heatwave period were analyzed as a simple 2 x 2 factorial ANOVA using the "Im" function in R (version 3.3.2). The longitudinal measurements of  $T_{50}$  were analyzed as a repeated-measures ANOVA; the chamber was included as a random effect while the warming and heatwave treatments were included as whole-plot factors, and time was a subplot factor; this analysis utilized the "Ime" function of the nlme R package. There was a significant interaction between time and heatwave treatment on  $T_{50}$  (p = .02); we used the "phia" R package for post hoc tests regarding this interaction. The heatwave treatment was not statistically significant on the first date of measurements (preheatwave; p > .1), but the heatwave effect was significant on all other dates (maximum p < .01). Linear correlations were analyzed with the "Im" function. Note that the data and analysis code are freely available (see details in Supporting Information).

# 3 | RESULTS

The effects of the experimental heatwave were equivalent for trees grown under ambient and warmed ( $+3^{\circ}$ C) temperatures for all measurements; there were no statistical effects of long-term warming or warming by heatwave interactions. Hence, we present the control vs. heatwave response averaged across ambient- and warm-grown trees (n = 6).

Canopy-averaged fluxes of photosynthetic  $CO_2$  uptake  $(A_{canopy})$  and transpirational  $H_2O$  loss  $(E_{canopy})$  were equivalent for control and heatwave trees prior to the experimental heatwave (Figure 2). The heatwave treatment did not affect  $A_{canopy}$  during the moderate morning conditions, but reduced  $A_{canopy}$  by an average of 95% during the hottest period of the day (1200–1400 hr; Figure 2b). The heatwave treatment also increased respiratory  $CO_2$  loss at night (Figure 2b). As a result, the heatwave reduced total  $A_{canopy}$  during the 4-day period by 40% (main effect of heatwave, p < .001; Figure 2d); this effect was equivalent for trees grown under ambient vs. warmed  $(+3^{\circ}C)$  conditions prior to the heatwave (main effect of experimental warming, p = .98; interaction, p = .85). The reduction in integrated

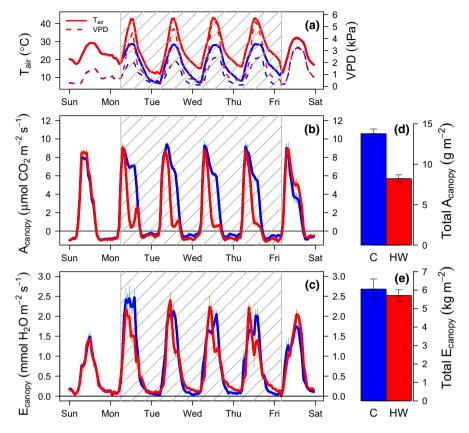
A<sub>canopy</sub> was most strongly associated with reduced daytime C-uptake; increased respiratory C-loss at night played a minor role (Figure S4). Notably, A<sub>canopy</sub> recovered completely on the first day following the heatwave (Figure 2b).

The heatwave treatment reduced  $E_{\rm canopy}$  in the afternoon by an average of 33% but increased  $E_{\rm canopy}$  at night (Figure 2c), resulting in no change in total  $E_{\rm canopy}$  (Figure 2e; no significant effects, all p>.05). Importantly, these results indicate a decoupling of  $A_{\rm canopy}$  and  $E_{\rm canopy}$  during midday conditions of extreme  $T_{\rm air}$  and VPD such that instantaneous water use efficiency ( $A_{\rm canopy}/E_{\rm canopy}$ ) approached zero (Figure S5a). The heatwave trees reduced  $A_{\rm canopy}$  to approximately zero, but maintained  $E_{\rm canopy}$  at relatively high values (Figure S5b).

Leaves rapidly increased their high-temperature tolerance in response to the heatwave. The high-temperature threshold of photosynthetic integrity (Curtis et al., 2014; T<sub>50</sub>) was high (~48.5°C) across all treatments prior to the heatwave (minimum p > .1), including trees designated for the control and heatwave treatment (Tukey post hoc test; p > .1). This is consistent with a large thermal safety margin (O'Sullivan et al., 2017). Within 24 hr of heatwave treatment,  $T_{50}$ increased by ~2°C and increased a further 1°C after 4 days to ~51°C (Figure 3a; heatwave by time interaction, p < .01). This 3°C change in  $T_{50}$  is a large adjustment, equivalent to a  $28^{\circ}$  latitudinal shift across a global dataset (O'Sullivan et al., 2017). The enhancement of T<sub>50</sub> declined after the heatwave, but was maintained at higher levels than control trees for at least 2 weeks (Figure 3a; heatwave effect persisted on last date; Tukey post hoc test, p < .05). The temporal variation in T<sub>50</sub> was strongly correlated with the average measured canopy temperature of the preceding day (Figure 3b). There was a hysteresis, however, as the heatwave trees maintained higher  $T_{50}$ than expected after the heatwave had passed (Figure 3a, red circle in Figure 3b).

Leaf and air temperatures ( $T_{\text{leaf}}$  and  $T_{\text{air}}$ ) were strongly correlated and nearly equivalent during sunlit periods (PPFD > 500  $\mu$ mol m $^{-2}$  s $^{-1}$ ; Figure 4a). When  $T_{\text{air}}$  was 20°C, average  $T_{\text{leaf}}$  was 1.3°C warmer than  $T_{\text{air}}$ ; by contrast, when  $T_{\text{air}}$  was 45°C,  $T_{\text{leaf}}$  was 0.2°C cooler than  $T_{\text{air}}$  (Figure 4b). Values of  $T_{\text{leaf}}$  were maintained below values of  $T_{50}$ , even during the heatwave (Figure 4c, d). Some leaves in the heatwave treatment approached 49–50°C, which would have exceeded the critical thermal threshold, had  $T_{50}$  not increased during the heatwave (compare histogram and vertical blue line in Figure 4c).

The continued transpiration during heatwave conditions moderated  $T_{\rm leaf}$  via latent cooling. We parameterized a coupled photosynthetic model (Duursma, 2015) of photosynthetic biochemistry (Farquhar et al., 1980), stomatal conductance ( $g_s$ ; Medlyn et al., 2011), and leaf energy balance (Wang & Leuning, 1998) based on the  $A_{\rm canopy}$  and  $E_{\rm canopy}$  observations in the control treatment (Figure 5a, c) and then used the model to predict  $A_{\rm canopy}$  and  $E_{\rm canopy}$  under heatwave conditions. This model captured the reduction in  $A_{\rm canopy}$  with increasing  $T_{\rm leaf}$  in the heatwave treatment (Figure 5b) but failed to predict the maintenance of relatively high  $E_{\rm canopy}$  at  $T_{\rm leaf}$  values exceeding 40°C (Figure 5d). We also compared the



**FIGURE 2** Time course of canopy  $CO_2$  uptake and water loss in response to an experimental 4-day heatwave. Air temperature and vapor pressure deficit (VPD; a) canopy-scale measurements of  $CO_2$  uptake ( $A_{canopy}$ ; b) and  $H_2O$  loss ( $E_{canopy}$ ; c) during an extreme heatwave treatment. The shaded area denotes when six heatwave trees were exposed to 4 days with a maximum air temperature of 43–44°C, while the six control trees experienced a maximum air temperature of 28–29°C.  $A_{canopy}$  and  $E_{canopy}$  fluxes are expressed per unit leaf area. Thick solid lines reflect the mean and thin vertical lines reflect the standard error (n = 6). Fluxes were summed over the 4-day heatwave for  $A_{canopy}$  (d) and  $E_{canopy}$  (e); error bars reflect the standard error (n = 6)

predictions of two widely used stomatal models (Ball et al., 1987; Leuning, 1995), which yielded nearly identical results (Figures S10–S11). These models, consistent with photosynthetic schemes used in global land models, assume a positive functional link between photosynthesis and  $g_{\rm s}$  such that predicted  $E_{\rm canopy}$  declines toward zero as photosynthesis declines to zero. By contrast, our observations suggest that this link between photosynthesis and  $g_{\rm s}$  was decoupled under the extreme heatwave conditions. Under heatwave conditions, observed  $T_{\rm leaf}$  was up to 7.5°C cooler than predicted by the model (mean of 2.8°C, range of 0.1–7.5°C cooler; Figure 3b). Thus, continued latent cooling during the extreme heatwave moderated  $T_{\rm leaf}$  and enabled the maintenance of  $T_{\rm leaf}$  below  $T_{\rm 50}$  (Figure 4).

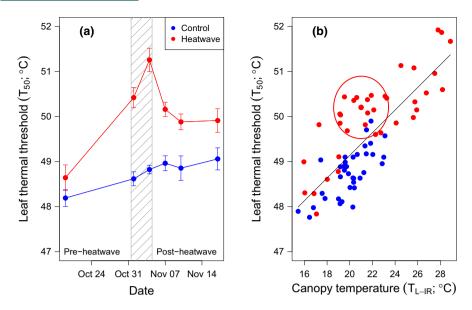
The heatwave treatment did not negatively affect the hydraulic system of these trees. Predawn and midday leaf water potentials were maintained at moderate values that were less negative than the TLP in all treatments (Figure S6). The most extreme observed leaf water potential values were approximately -2.0 MPa, which is less negative than the water potential at which similar *Eucalyptus* species begin to lose hydraulic conductivity (approximately -3.5 MPa; Bourne, Creek, Peters, Ellsworth, & Choat, 2017). Branch

hydraulic conductivity was not strongly impaired by emboli before or after the heatwave (Figure S7a). Furthermore, leaf hydraulic conductance on the fourth day of the heatwave was equivalently high across all treatments (Figure S7b). This occurred even with low soil water content (Figure S1); trees acquired water from across the soil profile during the heatwave and particularly from 30 to 150 cm depth (Figures S1–S2).

The heatwave did not cause widespread canopy damage or growth reductions. We observed some leaf browning, potentially indicative of acute thermal damage, but these symptoms were rare and affected just 1.1% of the leaf area of heatwave trees compared with 0.3% for control trees (Figure S8). We observed no tree mortality, and trajectories of diameter and height growth were not affected by the heatwave (Figure S9).

#### 4 DISCUSSION

These trees successfully coped with an extreme heatwave despite dry surface soils and exceptionally hot and dry atmospheric conditions. We documented an integrative physiological response to the



**FIGURE 3** Thermal tolerance and temperature of leaves during an extreme experimental heatwave in the Austral Spring–Summer. The leaf high-temperature thermal threshold ( $T_{50}$ ) strongly increased in response to the heatwave treatment (a); symbols reflect the mean and error bars reflect 1SE (n = 6). The shaded area denotes an extreme 4-day heatwave treatment. The change in  $T_{50}$  over time was correlated with the average measured canopy temperature of the preceding 24 hr (b). The solid line was fit to all of the data (y = 44.1 + 0.25x,  $r^2 = .61$ , p < .001). This relationship had a counterclockwise hysteresis, as the heatwave trees maintained higher  $T_{50}$  than expected on the postheatwave days (red circle)

heatwave that included substantial latent cooling of leaves despite net photosynthetic rates of approximately zero, the maintenance of a healthy and functional hydraulic system, and increased leaf thermal tolerance such that leaf temperatures did not exceed the upper thermal limit ( $T_{50}$ ). These mechanisms are relevant to our understanding of tree tolerance to extreme heat waves and to model predictions of future heatwave intensity at landscape and global scales.

#### 4.1 | Photosynthetic decoupling

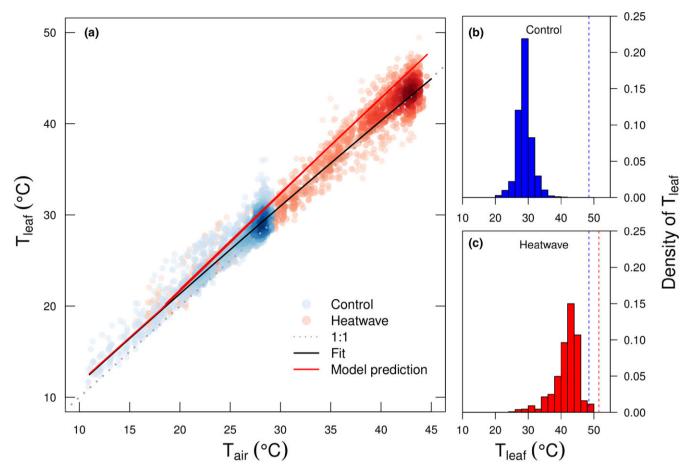
There is abundant evidence that photosynthesis and stomatal conductance are strongly coupled under most environmental conditions (Farquhar & Sharkey, 1982; Lin et al., 2015; Wong, Cowan, & Farguhar, 1979), and that mathematical models based on this coupling more accurately describe observed data (De Kauwe et al., 2013; Duursma et al., 2014; Rogers et al., 2017). Given this evidence, nearly all mathematical models of C cycling implement a positive functional link between stomatal conductance and photosynthetic rates (Ball et al., 1987; Leuning, 1995; Medlyn et al., 2011). Our observations suggest that this link between photosynthesis and g<sub>s</sub> was decoupled under the extreme heatwave conditions. We emphasize, however, that g<sub>s</sub> must have declined with increasing temperature during the experimental heatwave, or canopy transpiration would have increased strongly in response to rising VPD. However, g<sub>s</sub> did not decline as strongly as predicted by the photosynthetic models.

Photosynthetic decoupling is consistent with some recent studies with potted plants in controlled conditions (Ameye et al., 2012; von Caemmerer & Evans, 2015; Slot, Garcia, & Winter, 2016; Urban

et al., 2017). For example, Urban et al. (2017) found that increasing temperature was associated with increased g<sub>s</sub> for potted trees exposed to low VPD and high soil moisture, despite zero or negative rates of photosynthesis. Slot et al. (2016) found that g<sub>s</sub> declined with temperature up to  $\sim$ 42°C, but that  $g_s$  subsequently increased at higher temperatures, despite zero or negative photosynthetic rates. Given the evidence presented here and in these recent reports, we suggest that latent cooling of leaves by transpiration is an important component to plant response to extreme temperatures that is not incorporated into our current models of photosynthesis (Rogers et al., 2017). We acknowledge that alternative photosynthetic model formulations exist that specifically incorporate plant hydraulics to simulate stomatal closure to maintain moderate leaf water potentials (Bonan, Williams, Fisher, & Oleson, 2014; Tuzet, Perrier, & Leuning, 2003). Such formulations may be able to be modified to allow for photosynthetic uncoupling similar to that observed here, with additional constraints imposed by the water potential gradient from the soil to the atmosphere.

#### 4.2 | Thermal tolerance

O'Sullivan et al. (2017) recently published a global synthesis of leaf thermal tolerance for 218 species, noting that most plants have a large safety margin between maximum environmental temperatures and the leaf upper thermal limit. They also demonstrated acclimation in leaf thermal tolerance of ~0.3°C per 1.0°C increase in growth temperature using repeated measurements of six species in contrasting warm and cool seasons. This observation has been subsequently confirmed for 62 species across Australia (Zhu et al., 2018). O'Sullivan et al. (2017) argue, however, that

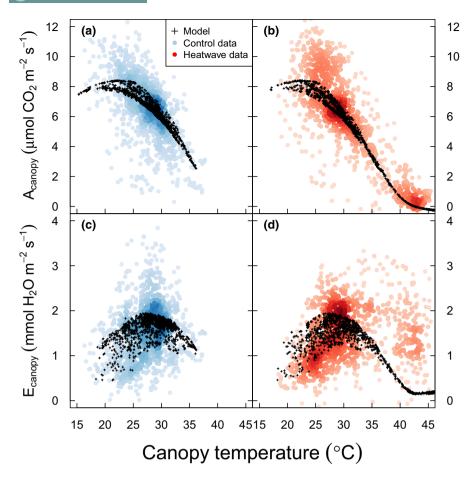


**FIGURE 4** The temperature of leaves during an extreme experimental heatwave in the Austral Spring–Summer. Leaf temperature ( $T_{\text{leaf}}$ ) measured in the upper canopy of each tree was strongly correlated with air temperature ( $T_{\text{air}}$ ; a). Points reflect 15 min averages of data collected during high light conditions (PPFD > 500 μmol m<sup>-2</sup> s<sup>-1</sup>) from October 31, 2016 to November 3, 2016. The dashed line shows 1:1, the solid black line was fit to the data: ( $T_{\text{leaf}} = 1.38 + 1.02 * T_{\text{air}} - 0.0012 * T_{\text{air}}^2$ , p < .001,  $r^2 = .8$ ), and the solid red line shows the predicted leaf temperatures by the photosynthetic model. Histograms of  $T_{\text{leaf}}$  in high light conditions (PPFD > 500 μmol m<sup>-2</sup> s<sup>-1</sup>, 12–16 hr) in control and heatwave treatments (b–c) were constrained to values below  $T_{50}$ . The  $T_{50}$  values are shown as the vertical dashed lines (b–c; blue = control or before heatwave  $T_{50}$ , red = peak heatwave  $T_{50}$ ). Note that some leaves in the heatwave treatment exceeded the  $T_{50}$  value observed preheatwave (dashed blue line) but did not exceed the  $T_{50}$  value observed during the heatwave (dashed red line; c)

increasing heatwave intensity will likely shrink this thermal safety margin and put some species at risk of thermal damage in the future, particularly in midlatitude regions where heatwave intensities are highest. This was true even when accounting for acclimation in thermal tolerance, as the predicted increases in heatwave temperatures exceeded the predicted increase in thermal tolerance. Our study confirms that the upper thermal limit of leaf function is not a fixed trait, and that at least some plants are capable of large and rapid adjustments in this upper thermal limit that likely contributes to heatwave tolerance. The increase in thermal tolerance reported here (~0.25°C per 1.0°C change in average leaf temperature; Figure 3b) is broadly consistent with the seasonal change reported by O'Sullivan et al. (2017) and Zhu et al. (2018), confirming that acclimation of leaf thermal tolerance mitigates some of the risk associated with future heatwaves. Possible limits to the adjustment of leaf thermal tolerance remain a key unknown for future research.

# 4.3 Interactions with water availability

These trees continued substantial latent cooling via transpiration during this heatwave (average cooling of 2.8°C). We note, however, that this latent cooling is contingent on soil water availability (van Gorsel et al., 2016; Urban et al., 2017). These *Eucalyptus* trees continued to access soil water without loss of hydraulic function despite low surface soil moisture and a lack of recent precipitation, but shallow or very dry soils may preclude this response in some systems (van Gorsel et al., 2016; Teskey et al., 2015). *Eucalyptus* trees are known to be facultative users of deep soil water and groundwater (Eamus, Zolfaghar, Villalobos-Vega, Cleverly, & Huete, 2015; O'Grady, Eamus, & Hutley, 1999). Shallow-rooting species without access to groundwater may not be capable of continued latent cooling during a heatwave that follows a month without precipitation. We speculate that trees without groundwater access rely primarily on physical adaptations such as reflective leaves (Curtis, Leigh, &



**FIGURE 5** Canopy-scale measurements of leaf photosynthesis ( $A_{canopy}$ ) and transpiration ( $E_{canopy}$ ) compared with the predictions of a photosynthetic model. Observed data are shown as density plots, with darker colors for areas of more frequent observations. The model was fit to the  $A_{canopy}$  and  $E_{canopy}$  data in the control treatment (a, c). This model suitably predicted the observed decline in  $A_{canopy}$  in the heatwave treatment (b). However, the model predicts strong stomatal closure and reduction in  $E_{canopy}$  toward zero above 40°C in the heatwave treatment, while observed  $E_{canopy}$  fluxes remained moderately high (d)

Rayburg, 2012; Ehleringer & Mooney, 1978) or biochemical adaptations such as heat-shock proteins (Feder & Hofmann, 1999) to cope with extreme heatwaves. The interplay between these adaptations and latent cooling may be an interesting area of further study (e.g., Lin, Chen, Zhang, Fu, & Fan, 2017).

### 4.4 | Implications for modeling

The latent cooling of transpiration was substantial during this heatwave, with an average cooling of  $2.8^{\circ}\text{C}$  up to an extreme of  $7.5^{\circ}\text{C}$ , and  $T_{\text{leaf}}$  was maintained at moderate values below the upper thermal limit for leaf function. The earth system models used to predict the incidence and biological impact of future heatwaves do not currently incorporate this mechanism, although recent work would suggest that such latent cooling could impact heatwave intensity in terms of land surface air temperatures at large spatial scales. For example, Kala et al. (2016) implemented an alternative stomatal conductance scheme (Lin et al., 2015; Medlyn et al., 2011) into an earth system model, leading to large increases (4–5°C) in the predicted values of maximum air temperature in Western Europe and Asia.

However, high latent cooling of a forested landscape has the potential to moderate heatwave intensity, suggesting that this mechanism should be explored in model projections of land surface temperatures in future heatwaves (Cowan et al., 2014; Kala et al., 2016; Li & Bou-Zeid, 2013; Sillmann et al., 2013).

Latent cooling during heatwave events is also relevant to urban forestry and the design of green cities. A recent model analysis suggested that urban trees in 10 megacities provided valuable human benefits, including mitigation of the urban heat island effect and reduced cooling expenses for buildings (Endreny et al., 2017). Designing cities to incorporate tree cover may ameliorate heatwave intensity and contribute positively to human health outcomes (Li & Bou-Zeid, 2013).

# 5 | CONCLUSION

We performed an extreme heatwave experiment with field grown and relatively large *Eucalypts parramattensis* trees. The trees exhibited a coordinated physiological response utilizing latent cooling and a rapid increase in leaf thermal thresholds, such that the trees experienced little thermal damage and no observable decline in growth. We conclude that this tree species was remarkably capable of tolerating an extreme heatwave via mechanisms that have implications for future heatwave intensity and forest resilience in a warmer world.

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#### **AUTHOR CONTRIBUTION**

JED co-led the experimental design, contributed to data collection for the chamber flux,  $T_{50}$ , and  $T_{leaf}$  datasets, coordinated the experimental implementation, and led the data analysis, modeling, and writing. MGT was the senior scientific lead; he co-led the experimental design and made large contributions to analysis, interpretation, and writing. AV led the  $T_{50}$  and  $T_{leaf}$  data collection and contributed to the experimental design, data analysis, and writing. BEM made a large contribution to the modeling component and contributed to the experimental design, interpretation, and writing. PBR contributed to the experimental design, interpretation, and writing. AL contributed to the experimental design, T<sub>50</sub> measurements, interpretation, and writing. SP co-led the hydraulic measurements and contributed to experimental design, data analysis, interpretation, and writing. CJB co-led the hydraulic measurements and contributed to data analysis, interpretation, and writing. RL co-led the hydraulic measurements and contributed to data analysis, interpretation, and writing. MJA contributed to the experimental design, interpretation, and writing. KYC contributed to the  $T_{50}$  and  $T_{leaf}$  measurements, experimental design, and writing. RAD contributed to the modeling component, experimental design, interpretation, and writing. DK contributed to the modeling component, interpretation, and writing. MGD contributed to the modeling component, interpretation, and writing. MJ contributed to the modeling component, interpretation, and writing. ABN contributed to the  $T_{50}$  measurements and contributed to interpretation and writing. DTT contributed to the hydraulics measurements, experimental design, and writing. BC contributed to the hydraulics measurements and writing. OKA contributed to interpretation and writing. CVMB contributed to the measurements of chamber flux and leaf temperature and contributed to experimental design, data analysis, and writing.

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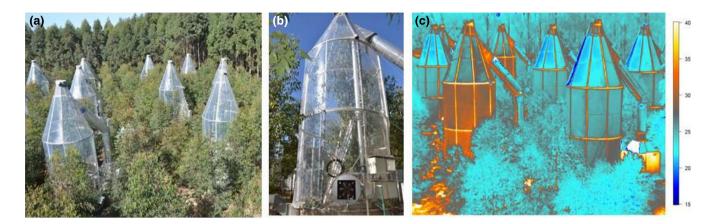
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# **Graphical Abstract**

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Heatwaves are likely to increase in frequency and intensity, but we know relatively little about how trees will respond. Here, we documented that large trees growing in the field responded to an extreme heatwave with a coordinated physiological response involving continued leaf cooling from transpiration and a rapid increase in leaf thermal tolerance. The Eucalyptus trees that we studied were remarkably capable of tolerating an extreme heatwave via mechanisms that have implications for future heatwave intensity and forest resilience in a warmer world.